

Financial Services Guide

Sharewise Group Pty Ltd

ABN 22 664 471 247

Corporate Authorised Representative No. 001302760



This Financial Services Guide (FSG) is dated 19 November 2024 and is provided to you by Sharewise Group Pty Ltd ACN 664 471 247 ("Sharewise", we, our, us) to inform you of the financial services provided by us and to comply with our obligations as an Authorised Representative of Sharewise Compliance and Administration Pty Ltd ("Sharewise Compliance and Administration") which is the holder of an Australian Financial Services License (AFS License No. 280420).

This FSG is meant to assist you to decide whether to use our services and to explain:

1. Who we are;
2. What financial services we provide and the products to which those services relate;
3. What information we offer to you as a client;
4. What our responsibilities are and what type of advice we give;
5. How you can instruct us;
6. What other disclosure documents and statements you will receive;
7. What you can expect to pay for the financial services;
8. What remuneration and other benefits may be paid to us, our employees or others;
9. Our associations and relationships;
10. What to do if you have a complaint, and how it will be dealt with;
11. For what purpose we use your contact data; and
12. How you can contact us.

This FSG contains only general information about the services we offer. If you still have any questions after reading this FSG, please contact us. Our contact details are listed at the end of this document.



1. Who are we?

Sharewise (CAR No. 001302760) is responsible for the Financial Services described in this guide. Sharewise, its employees and directors are authorised representatives of Sharewise Compliance and Administration. We act on our own behalf and under our own AFS Licence (which is held by Sharewise Compliance and Administration) when we provide services to you. We can offer our services to both wholesale and retail clients.

2. What services are we authorised to provide?

Sharewise provides general advice in relation to securities and managed investment schemes and in-house trade recommendations. We are authorised to deal in the following classes of financial products to retail and wholesale clients (within the definition of the Corporations Act):

- Managed investment schemes excluding IDPS and;
- Securities.

You have the option of opening an account with our affiliated brokers. This will allow us to conduct effective risk management for your portfolio and keep an eye on your positions at all times.

3. What information do we offer to you, as our client?

We can offer you factual market data and information, including general advice, regarding those products and services our authorisation permits us to provide. The products on which we may provide this information include:

- Securities traded on local and international exchanges;
- Managed investment schemes excluding IDPS.

4. What are our responsibilities and what type of advice do we give?

We provide general advice and information regarding financial products and services that can be dealt through us. This information or advice does not take into account your personal and financial circumstances, needs and objectives and because of that you should, before acting on the advice, consider the appropriateness of the advice having regard to your personal and financial circumstances, needs and objectives.

It should be noted that if you open an account with us, you will also be requested to provide some information relating to your financial circumstances and risk profile. This information is collected so that we can:

- Receive some reassurance that you will be able to finance any trading that you enter into and that your attitude to risk is comparable to that of the financial products you intend to trade; and
- Identify who you are as required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AMLCTF Act 2006).

5. How can you instruct us?

We will accept order instructions via telephone, email, or facsimile. You must check and confirm with us that orders sent via fax or email, have in fact been received by us. You must review any confirmation or statement we send to you immediately upon receipt to ensure its accuracy and report any discrepancies to us.

6. What other disclosure documents and statements will I receive?

Generally, where we arrange for the issue of a financial product to you, you will receive a Product Disclosure Statement (PDS) or other relevant disclosure documents that include information about the product so that you can make an informed decision whether to acquire the product. It would include any relevant terms, significant risks and costs associated with the supply of that financial product.

7. Our fees for the financial services

Membership Fees:

Service	Subscription Period	Price (inc. GST)
ASX/US Share Advisory	1 Year	\$1450
	3 Years	\$3480
	5 Years	\$5075
ASX+US Share Advisory	1 Year	\$2500
	3 Years	\$5800
	5 Years	\$7950

All subscription fees are inclusive of GST and may be discounted at the discretion of management. Unless explicitly stated, all memberships are entitled to a 30-day cooling off period.

The information in this section is subject to change and does not include information in relation to taxes or duties that you may be required to pay in relation to an investment. Unless otherwise stated, all fees, charges, commissions, and benefits disclosed in this FSG are inclusive of the Goods and Services Tax (GST).

Transaction Fees:

Sharewise (through third-party service providers) will arrange, as authorised by you, for brokerage fees to be debited from your account when a transaction has been completed in consideration for services rendered. The following ranges are an indication of the brokerage fees that may be charged on a per trade basis:

Client Type	Trade Value	Brokerage Fee (inc. GST)
Retail – Sharewise Trading Platform	< \$8000	\$9.50
	≥ \$8000	\$9.50 + 0.1%
Advisory – Managed Clients	≤ \$10,000	\$100
	> \$10,000	1%

All transaction fees are inclusive of GST and may be discounted at the discretion of management.

8. Costs, remuneration and other benefits that may be received by us, our employees and others

Included within the brokerage fees will be any administration or agent's execution fees. In addition to these commissions, Sharewise may require you to reimburse it for any fees levied by a market operator or clearing and settlement facility in respect of transactions entered into by Sharewise for you.

Our employees may, if authorised by you, be remunerated on a commission basis for the services provided to you. Their remuneration is included in the brokerage fees discussed above.

Where your business has been referred to Sharewise or your trade instructions require the use of a third party, Sharewise may be required to share a percentage of the brokerage fees or other charge with other parties, as disclosed and authorised by you in writing (if required).

9. Details of Associations and Relationships

Sharewise has a relationship with its affiliated brokers which are providers of execution, clearing and settlement services and portfolio administration services. These relationships in no way influence the provision of financial services by Sharewise to you.

10. If you have a complaint, how will it be dealt with?

If you wish to make a complaint, you can contact us or Sharewise Compliance and Administration Pty Ltd who has formalised client complaint resolution procedure in place to resolve any complaints or concerns you may have about the service provided to you. We will try to resolve your complaint quickly and fairly. These should be directed to:

Compliance Manager
Sharewise Compliance and Administration Pty Ltd
402/68 Alfred St S
Milsons Point NSW 2062
Email: compliance@sharewise.com.au

All complaints are reviewed and investigated by our Compliance Manager. If you make a complaint, our first response will be to contact you to discuss the complaint and to register a formal record of such complaint.

The Complaints Manager will investigate your complaint and provide a final written response to you no later than thirty (30) calendar days after receipt of your complaint. If the matter is complex and a longer period of time is required, Sharewise will notify you.

If your complaint cannot be resolved to your satisfaction after this process, you have the right to lodge a complaint with the Australian Financial Complaints Authority (AFCA) on 1800 931 678 or at www.afca.org.au. AFCA is an approved external dispute resolution scheme of which Sharewise Compliance and Administration Ltd is a member (member number 12461).

You may also contact the Australian Securities and Investment Commission (ASIC) info line on 1300 300 630.

In accordance with Regulatory Guide RG126 and Section 912B of the Corporations Act 2001, Sharewise Compliance and Administration, as our authorising licensee, has Professional Indemnity Insurance in place which covers the work done for it by its representatives and employees.

11. For what purpose do we use your contact data?

Privacy is an important issue for us and we are committed to ensuring full compliance with Privacy Act requirements.

The personal data that we collect from you will only be used by us to assist in the planning of marketing proposals, education seminars, provision of general product advice to clients and as permitted under the AML/CTF Act 2006.

12. How can you contact us?

The following contact details are for us and our authorising licensee, Sharewise Compliance and Administration.

Email: enquiries@sharewise.com.au

Website: www.sharewise.com.au

In person:

Suite 402, Level 4
68 Alfred Street South
Milsons Point, NSW 2061

Mail:

Sharewise Group Pty Ltd
Suite 402, Level 4
68 Alfred Street South
Milsons Point, NSW 2061